

Oral Surgery Group, Inc.

Notice of Privacy Practices

THIS NOTICE DESCRIBES HOW HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

We are required by law to maintain the privacy of protected health information, to provide individuals with notice of our legal duties and privacy practices with respect to protected health information, and to notify affected individuals following a breach of unsecured protected health information. We must follow the privacy practices that are described in this Notice while it is in effect. This Notice takes effect September 23, 2013, and will remain in effect until we replace it.

We reserve the right to change our privacy practices and the terms of this Notice at any time, provided such changes are permitted by applicable law, and to make new Notice provisions effective for all protected health information that we maintain. When we make a significant change in our privacy practices, we will change this Notice and post the new Notice clearly and prominently at our practice location, and we will provide copies of the new Notice upon request.

You may request a copy of our Notice at any time. For more information about our privacy practices, or for additional copies of this Notice, please contact us using the information listed at the end of this Notice.

HOW WE MAY USE AND DISCLOSE HEALTH INFORMATION ABOUT YOU: We may use and disclose your health information for different purposes, including treatment, payment, and health care operations. For each of these categories, we have provided a description and an example. Some information, such as HIV-related information, genetic information, alcohol and/or substance abuse records, and mental health records may be entitled to special confidentiality protections under applicable state or federal law. We will abide by these special protections as they pertain to applicable cases involving these types of records.

Treatment. We may use and disclose your health information for your treatment. For example, we may disclose your health information to a specialist providing treatment to you.

Payment. We may use and disclose your health information to obtain reimbursement for the treatment and services you receive from us or another entity involved with your care. Payment activities include billing, collections, claims management, and determinations of eligibility and coverage to obtain payment from you, an insurance company, or another third party. For example, we may send claims to your dental health plan containing certain health information.

Healthcare Operations. We may use and disclose your health information in connection with our healthcare operations. For example, healthcare operations include quality assessment and improvement activities, conducting training programs, and licensing activities.

Individuals Involved in Your Care or Payment for Your Care. We may disclose your health information to your family or friends or any other individual identified by you when they are involved in your care or in the payment for your care. Additionally, we may disclose information about you to a patient representative. If a person has the authority by law to make health care decisions for you, we will treat that patient representative the same way we would treat you with respect to your health information.

Disaster Relief. We may use or disclose your health information to assist in disaster relief efforts.

Required by Law. We may use or disclose your health information when we are required to do so by law.

Public Health Activities. We may disclose your health information for public health activities, including disclosures to:

- o Prevent or control disease, injury or disability;
- o Report child abuse or neglect;
- o Report reactions to medications or problems with products or devices;
- o Notify a person of a recall, repair, or replacement of products or devices;
- o Notify a person who may have been exposed to a disease or condition; or
- o Notify the appropriate government authority if we believe a patient has been the victim of abuse, neglect, or domestic violence.

National Security. We may disclose to military authorities the health information of Armed Forces personnel under certain circumstances. We may disclose to authorized federal officials health information required for lawful intelligence, counterintelligence, and other national security activities. We may disclose to correctional institution or law enforcement official having lawful custody the protected health information of an inmate or patient.

Secretary of HHS. We will disclose your health information to the Secretary of the U.S. Department of Health and Human Services when required to investigate or determine compliance with HIPAA.

Worker's Compensation. We may disclose your PHI to the extent authorized by and to the extent necessary to comply with laws relating to worker's compensation or other similar programs established by law.

Law Enforcement. We may disclose your PHI for law enforcement purposes as permitted by HIPAA, as required by law, or in response to a subpoena or court order.

Health Oversight Activities. We may disclose your PHI to an oversight agency for activities authorized by law. These oversight activities include audits, investigations, inspections, and credentialing, as necessary for licensure and for the government to monitor the health care system, government programs, and compliance with civil rights laws.

Judicial and Administrative Proceedings. If you are involved in a lawsuit or a dispute, we may disclose your PHI in response to a court or administrative order. We may also disclose health information about you in response to a subpoena, discovery request, or other lawful process instituted by someone else involved in the dispute, but only if efforts have been made, either by the requesting party or us, to tell you about the request or to obtain an order protecting the information requested.

Research. We may disclose your PHI to researchers when their research has been approved by an institutional review board or privacy board that has reviewed the research proposal and established protocols to ensure the privacy of your information.

Coroners, Medical Examiners, and Funeral Directors. We may release your PHI to a coroner or medical examiner. This may be necessary, for example, to identify a deceased person or determine the cause of death. We may also disclose PHI to funeral directors consistent with applicable law to enable them to carry out their duties.

Fundraising. We may contact you to provide you with information about our sponsored activities, including fundraising programs, as permitted by applicable law. If you do not wish to receive such information from us, you may opt out of receiving the communications.

Other Uses and Disclosures of PHI

Your authorization is required, with a few exceptions, for disclosure of psychotherapy notes, use or disclosure of PHI for marketing, and for the sale of PHI. We will also obtain your written authorization before using or disclosing your PHI for purposes other than those provided for in this Notice (or as otherwise permitted or required by law). You may revoke an authorization in writing at any time. Upon receipt of the written revocation, we will stop using or disclosing your PHI, except to the extent that we have already taken action in reliance on the authorization.

Your Health Information Rights

Access. You have the right to look at or get copies of your health information, with limited exceptions. You must make the request in writing. You may obtain a form to request access by using the contact information listed at the end of this Notice. You may also request access by sending us a letter to the address at the end of this Notice. If you request information that we maintain on paper, we may provide photocopies. If you request information that we maintain electronically, you have the right to an electronic copy. We will use the form and format you request if readily producible. We will charge you a reasonable cost-based fee for the cost of supplies and labor of copying, and for postage if you want copies mailed to you. Contact us using the information listed at the end of this Notice for an explanation of our fee structure.

If you are denied a request for access, you have the right to have the denial reviewed in accordance with the requirements of applicable law.

Disclosure Accounting. With the exception of certain disclosures, you have the right to receive an accounting of disclosures of your health information in accordance with applicable laws and regulations. To request an accounting of disclosures of your health information, you must submit your request in writing to the Privacy Official. If you request this accounting more than once in a 12-month period, we may charge you a reasonable, cost-based fee for responding to the additional requests.

Right to Request a Restriction. You have the right to request additional restrictions on our use or disclosure of your PHI by submitting a written request to the Privacy Official. Your written request must include (1) what information you want to limit, (2) whether you want to limit our use, disclosure or both, and (3) to whom you want the limits to apply. We are not required to agree to your request except in the case where the disclosure is to a health plan for purposes of carrying out payment or health care operations, and the information pertains solely to a health care item or service for which you, or a person on your behalf (other than the health plan), has paid our practice in full.

Alternative Communication. You have the right to request that we communicate with you about your health information by alternative means or at alternative locations. You must make your request in writing. Your request must specify the alternative means or location, and provide satisfactory explanation of how payments will be handled under the alternative means or location you request. We will accommodate all reasonable requests. However, if we are unable to contact you using the ways or locations you have requested we may contact you using the information we have.

Amendment. You have the right to request that we amend your health information. Your request must be in writing, and it must explain why the information should be amended. We may deny your request under certain circumstances. If we agree to your request, we will amend your record(s) and notify you of such. If we deny your request for an amendment, we will provide you with a written explanation of why we denied it and explain your rights.

Right to Notification of a Breach. You will receive notifications of breaches of your unsecured protected health information as required by law.

Electronic Notice. You may receive a paper copy of this Notice upon request, even if you have agreed to receive this Notice electronically on our Web site or by electronic mail (e-mail).

Questions and Complaints

If you want more information about our privacy practices or have questions or concerns, please contact us.

If you are concerned that we may have violated your privacy rights, or if you disagree with a decision we made about access to your health information or in response to a request you made to amend or restrict the use or disclosure of your health information or to have us communicate with you by alternative means or at alternative locations, you may complain to us using the contact information listed at the end of this Notice. You also may submit a written complaint to the U.S. Department of Health and Human Services. We will provide you with the address to file your complaint with the U.S. Department of Health and Human Services upon request.

We support your right to the privacy of your health information. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.

Our Privacy Official: [Stasha Morrow](#), Telephone: [219-757-5700](#), Fax: [219-757-5706](#)
Address: [8691 Connecticut Street, Suite A, Merrillville, IN 46410](#), E-mail: oralsurgerygroup@aol.com

Print Name: _____ Signature: _____ Date: _____

Oral Surgery Group, Inc.

Acknowledgement of Receipt of Notice of Privacy Practices

* You May Refuse to Sign This Acknowledgment*

I have received a copy of this office's Notice of Privacy Practices.

Print Name: _____

Signature: _____

Date: _____

For Office Use Only

We attempted to obtain written acknowledgement of receipt of our Notice of Privacy Practices, but acknowledgement could not be obtained because:

- Individual refused to sign
 - Communications barriers prohibited obtaining the acknowledgement
 - An emergency situation prevented us from obtaining acknowledgement
 - Other (Please Specify)
-
-

PATIENT INFORMATION (CONFIDENTIAL)

Patient # _____

Date _____

NAME _____ SEX: M F AGE _____
FIRST MI LAST

ADDRESS _____

CITY _____ STATE _____ ZIP _____

PHONE _____ CELL _____ BIRTHDATE _____

SOC. SEC. # _____ MEDICARE # _____ MEDICAID # _____

CHECK APPROPRIATE BOX: MINOR SINGLE MARRIED DIVORCED WIDOWED SEPARATED STUDENT

PATIENT'S OR PARENT'S EMPLOYER _____ WORK PHONE _____

EMPLOYER ADDRESS _____ CITY _____ STATE _____ ZIP _____

SPOUSE OR PARENT'S NAME _____ EMPLOYER _____ WORK PHONE _____

DENTIST NAME _____

WHOM MAY WE THANK FOR REFERRING YOU? _____

RESPONSIBLE PARTY

NAME OF PERSON RESPONSIBLE FOR THIS ACCOUNT _____ RELATIONSHIP TO PATIENT _____

ADDRESS _____ HOME PHONE _____

DRIVER'S LICENSE # _____ BIRTHDATE _____ SOC. SEC. # _____

EMPLOYER _____ WORK PHONE _____

INSURANCE INFORMATION

Primary Dental

NAME OF INSURED _____ RELATIONSHIP TO PATIENT _____

BIRTHDATE _____ UNION OR LOCAL # _____ WORK PHONE _____

NAME OF EMPLOYER _____ UNION OR LOCAL # _____ WORK PHONE _____

INSURANCE COMPANY _____ INS. CO. PHONE # _____

INS. CO. ADDRESS _____ CITY _____ STATE _____ ZIP _____

GROUP # _____ POLICY ID # _____

Primary Medical

NAME OF INSURED _____ RELATIONSHIP TO PATIENT _____

BIRTHDATE _____ UNION OR LOCAL # _____ WORK PHONE _____

NAME OF EMPLOYER _____ UNION OR LOCAL # _____ WORK PHONE _____

INSURANCE COMPANY _____ INS. CO. PHONE # _____

INS. CO. ADDRESS _____ CITY _____ STATE _____ ZIP _____

GROUP # _____ POLICY ID # _____

DO YOU HAVE ANY ADDITIONAL INSURANCE? YES NO IF YES, COMPLETE OTHER SIDE

Signature of Patient or Parent if Minor X _____

Patient Name _____

Patient # _____

MEDICAL HISTORY

(This information is Very Important And Accurate Answers Are Essential)

In order to PROTECT your health, the health of the staff and minimize the risk of infection, we ask that you answer the following health related questions. Proper answers to these questions will allow us to provide you with better treatment.

Have you ever had/or do you have any of the following? PLEASE CIRCLE THE APPROPRIATE ANSWER.

YES NO Do you have a current medical problem? If so, what? _____

- | | | |
|--------------------------------------|----------------------------|-------------------------|
| YES NO Heart Trouble | YES NO Shortness of Breath | YES NO Convulsions |
| YES NO Rheumatic Fever | YES NO Asthma | YES NO Fainting Spells |
| YES NO High Blood Pressure | YES NO Emphysema | YES NO Anemia |
| YES NO Swelling of Ankles | YES NO Tuberculosis | YES NO Allergy to Eggs |
| YES NO MVP (Mitral Valve Prolapse) | YES NO Chronic Cough | YES NO Allergy to Soy |
| YES NO Prosthetic (Artificial) Joint | YES NO Hearing Aids | YES NO Allergy to Latex |
| YES NO Wear Contact Lenses | YES NO Nervous Disorders | YES NO Allergy to Sulfa |

YES NO To your knowledge have you ever been exposed to the HIV virus? _____

YES NO Do you have or suspect you may have AIDS? _____

YES NO Have you had any jaw joint problems (TMJ) of clicking, popping, pain or limited mobility? _____

YES NO Have you ever taken CORTISONE or ACTH? _____

YES NO Have you ever taken anticoagulants (blood thinners)? _____

YES NO Are you taking tranquilizers, sedatives or antidepressants? _____

YES NO Have you ever taken heart or high blood pressure medicine? _____

YES NO ARE YOU NOW TAKING ANY KIND OF MEDICINE, DRUG OR PILLS FOR ANY PURPOSE? Please list _____

YES NO ARE YOU DIABETIC? How do you control your sugar level? _____

YES NO ARE YOU ALLERGIC TO ANY FOOD, MEDICINE, or DRUG? If so, what? _____

YES NO Have you had hives, wheezing or a rash after taking medicines? If yes, which medicines? _____

YES NO Have you had prolonged bleeding following an injury or surgery? _____

YES NO Do you have a COLD, COUGH, RUNNY NOSE, OR SORE THROAT? _____

YES NO Do you smoke a pack or more of cigarettes a day? How long? _____ years

YES NO Have you been under the care of a physician during the past year? If so, FOR WHAT? _____

Physician Name _____ Phone _____

Specialist Name _____ Phone _____

YES NO Have you ever had HEPATITIS (liver trouble)? Or jaundice? When? _____

YES NO Have you ever had MAJOR SURGERY? If yes, WHAT AND WHEN? _____

YES NO If female, are you PREGNANT? YES NO Are you Nursing?

YES NO Have you ever received Radiation / Chemotherapy?

YES NO IS THERE ANY CONDITION CONCERNING YOUR HEALTH THAT THE DOCTOR SHOULD BE TOLD?
(narcotics use, pregnancy, alcoholism, tumors, psychiatric care, chemical detoxification, etc.) _____

Reviewed by: Doctor _____ Date _____

I certify that I have answered the above questions truthfully (to the best of my knowledge).

Date

Patient Signature or relative where required